

Bar coding - basic user guide

GS1 New Zealand is part of the worldwide GS1 network. We are a not-for-profit association with over 4,500 New Zealand members who join over a million member companies worldwide. GS1 New Zealand aims to make supply chain standards and the adoption of related technology accessible and affordable for the largest to the smallest companies.

Our standards and services for bar coding, electronic business messaging, data synchronisation and radio frequency technology are founded on the global GS1 System. GS1 standards allow businesses to seize opportunities in areas such as traceability, inventory management, point-of-sale/use and collaborative planning.

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Acknowledgement

This booklet is substantially based on the GS1 UK publication *Bar coding - getting it right*. GS1 New Zealand gratefully acknowledges the permission of GS1 UK for the use of the material.

Bar Code Quick Start Guide

Following this Quick Start Guide will get you started as a user of the GS1 System but will not be a substitute for the whole of this booklet. GS1 New Zealand strongly recommends that you study the whole booklet. Not doing so creates the risk of embarrassment in the market place because of bar codes that fail or trading partners who reject products on which the bar codes do not meet GS1 specifications or industry requirements.



Create a 13-digit bar code number (GTIN-13) to identify each variant of your product. See Appendix 2 Creating retail global trade item numbers p44.

Step two: identify your inners and cartons

Is this necessary? You should make enquiries among your customers to see whether they require bar codes on inners and/or cartons. If you do not know whom to ask, assume that bar codes are required on all levels of packaging.

- Will the units be retailed? If so create new numbers for each as in Step One.
- Will the units be scanned only in warehouses or distribution centres? If so create a 14-digit number (GTIN-14) for each. See Appendix 2 Creating GTIN-14 global trade item numbers p47.

Step three: identify your pallets

Is this necessary? Ask your customers. If you do not know whom to ask, do not worry about this until a customer asks you to identify pallets.

If required create serial shipping container codes for each pallet. See Appendix 2 Creating SSCC's p48.

Step four: select your bar codes

- Retail items (including inners or cartons if you intend them for retail sale as intact units) will be bar coded with EAN-13 bar codes containing GTIN-13 numbers. See Sections 8, 9 and 10 pp. 13-16.
- Cartons identified with GTIN-14 numbers and requiring no additional information such as use-by dates in the bar code should be bar coded with Interleaved Two of Five (ITF-14) bar codes. See Sections 8 and 10 pp. 13, 18-20.
- Cartons identified with GTIN-14 numbers that require additional information such as use-by dates in the bar code must be bar coded with GS1-128 bar code. See Sections 8 and 10 pp. 13, 21-23.
- Inners may be bar coded with any of the bar codes mentioned above, or with none, as decided between you and your customers.
- Pallets (and any unit used as a logistics unit) should be bar coded with a GS1-128 bar code.
 See Section 10 pp. 24-26.

Step five: having the bar code printed

GS1 New Zealand does not provide bar codes. Lists of companies able to print bar codes for you or supply equipment or software for the purpose are shown in 'Solution Providers' on the GS1 New Zealand web site www.gs1nz.org. See Section 6 p7.

Step six: getting the bar code right

Physical requirements such as size, height, colour and location are very important. Products on which the bar codes do not comply may be rejected by customers or may cause inefficiency in the supply chain by not scanning. See Sections 15 and 16, pp. 35-37 and ensure that anyone printing bar codes for you is familiar with the information they contain. Note the contents of section 17, page 38.

Step seven: bar code quality testing

Many major retailers will require you to show evidence of a GS1 verification test on your bar codes. Without evidence of a successful test your product may be rejected or you may be required to relabel it before acceptance. Whichever sector you supply, a verification test is a wise precaution to ensure that your customers will not be disappointed with your product when they receive it. See GS1 verification reports p30.

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1. The Origins of the GS1 System



The bar code was one of several methods developed for the automatic reading of numbers in the years following World War II. The American grocery industry realised that the new technology had the potential to revolutionise supply chain management and in 1972 the Uniform Code Council (UCC) was formed by a coalition of interested companies and industry organisations. The new organisation's mission was to design and implement a suitable identification and bar code system usable in any industry, throughout the whole supply chain. In April 1974 retail scanning began in the USA.

Several European countries cooperated to form the European Article Number (EAN) Association to roll out the American system for worldwide use. EAN International was established in 1977 and other countries quickly became involved. The first scanning store opened in New Zealand in 1982, just three years after the New Zealand Product Number Association (now GS1 New Zealand) was founded.

By 1993, New Zealand led the world in the degree of scanning penetration in supermarkets. In that year 85 cents in every dollar's worth of groceries was sold through scanning checkouts. Since then the System has become established well beyond grocery in all retail sectors and throughout most of their supply chains.

In January 2005 EAN International and the UCC merged into one organisation, now known as GS1.

Since 2001 there has been a radio frequency identification (RFID) equivalent of the bar code standard, and all the advantages of a global open system are now available to RFID users also. The RFID standard is known as Electronic Product Code (EPC) and is administered world wide by GS1's wholly owned subsidiary EPC global, represented in New Zealand by GS1 New Zealand.



2. GS1 New Zealand services to members



GS1 New Zealand works to support users of the GS1 System and to promote the use of the System in new environments and sectors. Services available to members include:

- 0800 helpdesk
- bar code verification testing
- technical literature
- seminars
- customised on-site training
- consultancy
- data synchronisation services
- website www.gs1nz.org
- global party information registry www.gepir.org

GS1 New Zealand has offices in Auckland, Wellington and Christchurch.

GS1 accreditation

GS1 New Zealand conducts a programme to accredit companies that have completed advanced training in the GS1 specifications and have put in place quality systems to ensure that everything they do involving bar codes is fully compliant with the specifications. Accredited companies are recommended to users obtaining bar codes and related services such as printers or labelling equipment.

Certificate in Automatic Data Capture (ADC) Standards

GS1 New Zealand in conjunction with GS1 Head Office in Brussels offers an NZQA-recognised extramural course in ADC Standards covering all aspects of GS1 numbering and bar codes. The course is designed for designers, packaging technologists, printers, inventory managers and anyone else whose work involves identification and/or bar codes, such as sales personnel selling packaging or label printers. It is also useful for marketing personnel with an interest in design issues or data collection relating to their products.

Printers may complete the course as a component of the Diploma in Print Management.

GS1 New Zealand recommends the services of persons who have completed this course.



3. What bar codes do



All bar codes represent data in a machine-readable form. The different widths of bars and spaces in a bar code symbol represent different numbers or letters which can be decoded by a bar code scanner. The data is then sent back to the appropriate computer system where it is recorded and used as a prompt for further action.

It is important to realise that numbers and bar codes are different things, and that there are hundreds of types of bar codes. When this booklet mentions bar codes it will be referring to those bar codes that are part of the GS1 System. Because scanning systems can only decode those bar codes for which they have been set up, selecting the correct bar code to encode data is very important.

Bar codes used at the retail point-of-sale represent a global trade item number (GTIN), which acts as a 'key' to information held on a database. It is important that each GTIN correctly identifies the product and that the bar code representing this number can easily be seen and scanned successfully.

The bar codes used on outer cases (trade items that do not cross a retail point-of-sale) will often represent only the GTIN. When it is also necessary to have additional information such as expiry dates, batch information or serial numbers in a machine-readable form, this information can also be included in a bar code symbol.

Logistics labels are used to identify the units transported in the supply chain. Pallets are the best and most common example but any unit used to ship and store products may be suitable for identification with a logistics label.

All of the bar codes in the GS1 System use the GS1 business data standards. These standards are agreed, unambiguous communications between companies in value chains and their final customers, who may be consumers at the retail point-of-sale. The numbers that this booklet will explain are only those used for identification but please note that the GS1 System provides numbers for many other purposes as well. These include asset tracking and the use of numbers as addresses to identify locations and functional entities in electronic communications or bar coded delivery addresses. Global Location Numbers (GLN's) and other specialist applications are not discussed in this booklet but information about them is available from GS1 New Zealand.

This booklet will provide essential information about the printing and positioning of bar codes, but it is not intended as a replacement for the GS1 specifications. These specifications are available free of charge to members via the GS1 New Zealand website at www.gs1nz.org.

Members are ultimately responsible for the quality of bar codes on their products and should ensure that they correctly specify all aspects of the bar codes they require when ordering print or packaging.



4. Grocery industry requirements in Australia and New Zealand



The major grocery supermarket operators in Australasia have jointly developed preferences for certain sizes and other aspects of bar codes on products supplied to them. In addition users should note that Woolworths Australia have certain requirements of their own. Companies supplying the grocery trade should be careful to comply with these specific requirements as non-compliant product risks rejection by the retailer.

All product offered for supply to grocery companies must be accompanied by evidence of GS1 verification testing.

The grocery requirements are mentioned where appropriate in this booklet but as they may change the booklet should not be regarded as the sole source of guidance. Interested companies should obtain their own copy of the document *The Australian and New Zealand Grocery Industry Guidelines For Numbering and Bar Coding of Trade Items not Sold at Retail Point-of-Sale* from GS1 New Zealand's web site www.gs1nz.org

Companies supplying Woolworths Australia should take care to comply with any bar code-related requirements stipulated by the buyer.

For further information contact the GS1 New Zealand helpdesk on 0800 10 23 56.





5. Number allocation



It is vitally important that each company bar coding its products has a unique company prefix number from GS1 New Zealand or another GS1 member organisation. This number, which can vary in length, is then used as the basis for the creation of unique global trade item numbers (GTIN's) as explained in Appendix 2.

Each company must ensure that every different product line has a different number. If particular levels of packaging also need to be identified for trading purposes, then each level being identified requires a different number.

The number allocated to a consumer unit (an item that could be sold at a retail point-of-sale) must be changed when:

- the declared weight is different
- extra product is provided free, for example 10% extra
- the name of the product changes, for example from Marathon™ to Snickers™
- a free gift is attached to the item
- · different prices are pre-printed on the packaging

Numbers allocated to consumer units should remain unaltered when:

- a free gift is included inside the item
- a promotional offer is being advertised
- the undeclared weight changes by an amount that does not affect its handling within any supply chain
- a minor packaging change occurs, for example a different but similar packaging material is used

Different numbers are required on outer cases (traded units or trade items that do not cross a retail point-of-sale) when:

- they contain different quantities of the same consumer unit
- the products inside the outer case have a new item number
- a promotion needs to be distinguished for ordering and invoicing purposes
- the packaging of the consumer unit changes significantly, for example when a glass container replaces a plastic container

Changes of number are required when the outer case needs to be distinguished from any other outer case. Changes in packaging material may affect the gross weight of the item even though all other aspects are unaltered. This weight change may affect the logistics processes involved in the product's handling, so a different number is required.

For further guidance on number change decisions, see the GTIN rules link on the GS1 international web site www.gs1.org





What happens when a consumer unit is also a traded unit?

When a product is traded between companies and also sold at the retail point-of-sale, the product is both a traded unit and a consumer unit. Examples are a sack of potatoes, a toaster or a 12-pack of canned drinks. The same bar code serves both purposes but because it will be scanned in warehouses and distribution centres as well as in retail environments it needs to be larger than a bar code intended only for retail use.

Number notification

Poor communication of item numbers between trading partners prevents effective handling of trade information. Following good practice will achieve immediate improvements at no extra cost.

- For proprietary branded products the manufacturer or supplier will notify the wholesalers or retailers of the GTIN's being used
- For own brand products, the retailer or wholesaler will let the manufacturer know which numbers are to be used to identify new products

When a new product is being introduced, all the GTIN's that relate to it must be notified to all the trading partners before the products are first supplied

Re-using item numbers

Best practice is to never re-use numbers so that accidental duplication is impossible. For this reason numbers on medical and pharmaceutical products may **never** be re-used.

The GTIN for any other product **may** be re-used if it is at least four years since the number was last used to identify something else. Even if the first product had a very short shelf life the number should not be re-used before this time has elapsed or it may cause confusion with your trading partners.

The only exception is for clothing, when numbers can be re-used after 30 months. This is because many of these are used to identify fashion items that are normally sold for only one season.

It is always worth checking whether re-using numbers will cause any problems with your major customers. In the music industry, for example, re-using numbers may cause problems because a re-issued recording may require the same number it had 10, 20 or 30 years ago.

6. Turning a GTIN into a bar code



Your label or packaging supplier will be able to print a bar code for you once you have created the number. Print and packaging companies that are GS1 New Zealand members will be better equipped to provide you with accurate bar codes than non-members.

GS1 New Zealand recommends the services of companies that have completed the GS1 Accreditation Programme. Companies that are members are not automatically accredited. You are encouraged to ask your suppliers about their GS1 membership status and their knowledge of current GS1 specifications, which may change from time to time.

Be sure to insist on full compliance with GS1 specifications including print quality requirements when you place the order for the job. As the brand owner you are responsible for ensuring that the product reaches the market place with a correct bar code that will work reliably when retailers scan it.

GS1 New Zealand does not provide bar code printing equipment or software although a list of suppliers is available on the GS1 New Zealand website www.gs1.org



GS1 New Zealand does not provide bar code printing equipment or software. A list of suppliers is available on the GS1 New Zealand website.

7. The ideal bar code



The ideal bar code is one that represents the correct data and is scannable when printed within the allowable range of sizes. Bar code size is usually expressed as a percentage based on a theoretically ideal 100% size. It is sometimes expressed as the width of the thinnest bar or space in the bar code, known as the X-dimension. The GS1 specifications provide a basis for determining the correct size of a bar code symbol and also recommend the use of verification equipment which can measure how well a bar code has been printed and give an indication of how well it will be scanned in practice.

The correct bar code symbol must be chosen before including it on the packaging of the product.

If supplying the grocery industry in Australia or New Zealand be careful to comply with the specific requirements of that industry. See Section 4, p4.

For consumer units

Scanners at the retail point-of-sale are designed to read EAN-13, UPC-A, EAN-8 and UPC-E bar codes only, so one of these symbols must be used. Most New Zealand users will use EAN-13 bar codes on their products (or EAN-8 bar codes for very small products). The four retail bar codes, EAN-13, UPC-A, EAN-8 and UPC-E are generally known as 'EAN/UPC', or simply 'retail bar codes.' All four will work anywhere in the world.

- Bar codes should be in the same location on all similar shaped products
- The bar code should be no closer than 8 mm to a seam, edge or packaging fold
- The bar code should be on a flat or consistently curved surface
- The bar codes on consumer units should not be visible through the outer packaging of a six pack or other grouping of products
- For small cylindrical products, the bar code should be positioned vertically (ladder orientation) so that the bars are horizontal. See illustration overleaf.
- One bar code is sufficient for a consumer item

See Section 9, p14.

For traded units

Scanners at inward goods and at the wholesale point-of-sale are designed to read retail bar codes as well as ITF-14 and GS1-128 bar codes so any of these may be used. The symbols used on very small products, the EAN-8 and UPC-E bar codes, will not generally be used on traded units.

Traded units may be handled automatically by inward goods systems and so the bar codes must normally be printed so that the bars of the symbol are vertical when the unit is in its normal storage position. GS1 can advise on the small range of exceptions in special cases. Traded units will often be sold intact at a wholesale point-of-sale, so it is very important that the bar codes on the consumer units inside them cannot be scanned through the packaging material.

Two bar codes should usually be provided on each item. One bar code should be on a short side and the other on the adjacent long right hand side. Note that the required number of bar codes may vary according to the sector or company you are supplying. See Section 16, p36.

The ideal bar code is one that represents the correct data and is scannable when printed within the allowable range of sizes.



- The bar codes on consumer units inside the outer case should not be scannable through the outer packaging
- The bar codes must be horizontal, in 'picket fence' orientation, so that the bars are vertical
- The minimum height of the bars in the bar code should be 32 mm
- The bar codes including their light margins must be no closer than 19 mm to a vertical edge
- The bar codes must not be obscured by any final packaging

See Section 10, p16

For logistics units, for example pallets

Scanners used to read labels on logistics units are designed to read GS1-128 bar codes.

All the bar codes on the pallet label must be GS1-128 bar codes.

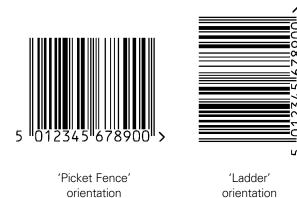
- There should be two identical labels on each unit
- One label should be placed on a short side and the second label should be placed on the adjacent long right hand side
- The bar codes including their light margins must be no closer than 50 mm to a vertical edge
- The minimum height of the bars of all the bar codes should be 32 mm
- The bar codes must be horizontal, in picket fence orientation, so that the bars are vertical

See Section 11, p24

Bar code origination

Bar codes can be sourced as film masters (positive or negative images as required by the production process), as digital EPS (Encapsulated PostScript) files sent electronically, or through the use of bar code production software used in-house. Whichever method is used it is important to determine the correct size for the particular use of the bar code, and for the choice of substrate and printing technique.

More details are provided in Appendix 3.





Grocery companies require evidence of verification before accepting new products.



The use of verification equipment is recommended to check these master images, especially when artwork has been created digitally. Verifiers are special scanning systems that scientifically assess bar code quality. Film masters will already have been verified by the film master supplier to ensure that they have been accurately produced. This does not remove the need for verification of the printed product. Verification at this stage is a different process and the printing process will have changed the characteristics of the bar code from its film form.

It is recommended that the bar codes on the finished products are then verified to ensure that all the production processes have resulted in a scannable bar code symbol or symbols. Verification equipment meeting the requirements of ISO/IEC 15426-1 should be used, as it will provide a check on all the required criteria.

More information about verification is provided in Sections 12 and 13 pp 27 - 30.

Verification is strongly recommended because wholesalers and retailers are increasingly using automated scanning systems at their inwards goods and points-of-sale, and poor quality, unscannable, bar codes create additional cost and delay for their operations. Grocery companies require evidence of verification before accepting new products.

Bar code colours

Bar codes must be printed so that the darker bars appear against a paler background. It is not possible to read a bar code that is printed with light bars against a darker background. Scanners detect the difference in contrast between the bars and spaces using red light, and it is important to use colours that will maximise this contrast.

If using a semi-transparent substrate, do not rely on the colour of the contents of the packaging to provide a background colour: print a background in white, yellow, orange or red to provide a solid contrast with the bars of the symbol. Black bars on a white background are a good combination, but other colours may be used provided the bars have a high blue, black or green content and are printed on a background that is white, yellow, orange or red. The illustrations overleaf show examples of scannable and non-scannable colour combinations.

Verifiers that meet the requirements of the international specification ISO/IEC 15426-1 are able to measure the contrast of a printed bar code, and they should be used to check that particular colour combinations are scannable.

Any colours used for the printing of the bars must be pure or 'spot' colours, and not printed out of the conventional four-colour (CMYK) process. It is not always necessary to introduce black as an extra colour to print the bars if one of the colours already used in the design will appear black under red light. Use a verifier compliant with ISO/IEC 15426-1 to check that the chosen combination will work effectively, or consult GS1.



Bar code colours

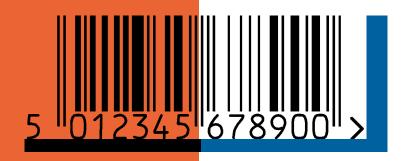
Because scanners use red light, only certain colour combinations can be used.





Non-scannable

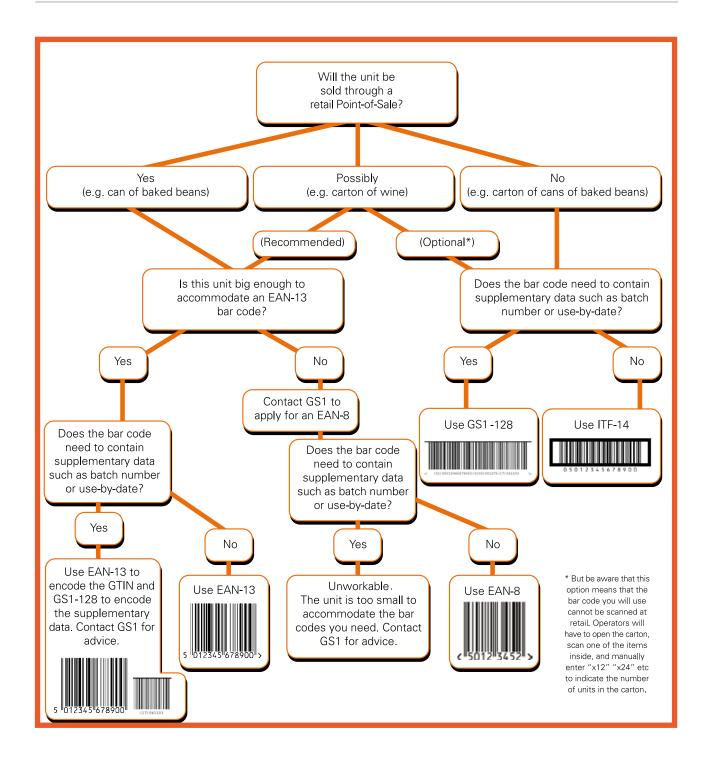








8. Choosing the correct bar code



All Canadian and US retailers should now be able to scan EAN-8 and EAN-13 symbols but if exporting check with your agent. Note that Americans generally refer to all retail bar codes as 'UPC' but may mean 'EAN/UPC', which includes EAN-8 and EAN-13.



9. Bar codes on consumer units



Consumer units (items that may be sold at a retail point-of-sale) must be bar coded with EAN-13, UPC-A, EAN-8 or UPC-E symbols, and they are shown at right. All of these bar codes are shown here at a size of 100% and whenever they are made smaller or larger they should be kept in proportion. The height of the bars should not be reduced (truncated) unless absolutely necessary as truncation reduces the bar code's scannability.

UPC-A and UPC-E were the original retail bar codes developed in the US and until January 2005 were the only ones North American retailers could scan. They occupy the same surface area as EAN-13 and EAN-8 respectively. North American retailers can now scan all of the retail bar codes.

The EAN-8 bar code represents a GTIN-8 that is directly assigned by GS1 New Zealand for the identification of very small items. If you think that an EAN-13 bar code will not fit on your product contact GS1 New Zealand to discuss whether you qualify for EAN-8 bar codes. Note that the GTIN-8 numbers used in EAN-8 bar codes are a limited resource. They will be issued only when absolutely necessary and will not be issued simply to satisfy design preferences.

The UPC-E bar code is a special representation of a GTIN-12 that would otherwise be shown in a UPC-A bar code symbol. A process called 'zero suppression' that is not covered in this booklet, exists to reduce the number to an 8-digit form. These bar codes can be scanned in New Zealand but they are not generally available for New Zealand users to create. Further information about these symbols is available in the GS1 specifications and from GS1 New Zealand.

These four symbols – EAN-13, EAN-8, UPC-A and UPC-E – are sometimes referred to as 'EAN/UPC' symbols, or 'retail bar codes'. The bar codes have a nominal or 100% size that can be varied, and the size chosen will depend on the printing process being used and the quality of the inks and substrates being used. Appendix 1a provides detailed dimensions at various magnifications within the specified range.

EAN-8 bar codes are a limited resource. They will only be issued when absolutely necessary and will not be issued simply to satisfy design preferences.





Main requirements

- The bars of the codes should not be truncated unless the product's size makes this absolutely necessary
- Adequate light margins or quiet zones must be provided to the left and right of each bar code

Sizes between 80% and 200% are allowed but note that if the unit will be used for distribution as well as retail sale the size requirements for traded units will apply.

• Bigger bar codes are easier to print and scan than smaller ones so as a general principle users should favour larger size options

When printing bar codes smaller than 100% remember that the printing tolerances are much tighter and you must use high quality printing processes. It is not always possible to improve the quality of the printing process so tests must be carried out before committing to a particular size of symbol. If the consumer unit is also a traded unit, see section 10, p16 for advice on the bar code's size.

X-dimension

When any of these bar codes is at its nominal or 100% size the width of the narrowest bar or space is 0.33 mm. This measurement is also known as the X-dimension or the module width, and this expression may sometimes be used to specify the size of a bar code. The width of the other bars and spaces is two, three or four times the X-dimension.

Light margins or quiet zones

The clear spaces to the left and right of each bar code symbol are very important as they are used by the scanner to determine where the bar code starts and finishes. These light margins or quietzones must be the same background colour as the rest of the bar code and nothing should be printed in these areas except for the symbol's light margin indicators. These light margin indicators are optional, but they are strongly recommended to help safeguard the light margins.

The nominal dimensions for these light margins are provided in Appendix 1b, but it is important to allow slightly more than this space at each side to allow for variation in printing tolerances and placement. Light margins can be critical. A fraction of a millimetre's shortfall in margin width may render a bar code unscannable or may mean that only some scanners can scan it.



10. Bar codes on traded units



Traded units are sometimes called trade items not crossing a retail point-of-sale. They may be known as outers, cases, cartons or shippers. These units may cross a wholesale point-of-sale, and may also be scanned in automated goods handling systems. They are the items handled by manufacturers, distributors, wholesalers, and retailers at inwards goods and they usually contain a predefined number of consumer units.

These items can be bar coded with retail bar codes, ITF-14 or GS1-128 symbols depending on the type of outer case packaging material being used and the type of information required by trading partners.

Some products, such as washing machines, furniture or large multipacks of canned drinks, are both traded units and consumer units. If this is the case the item number is the same for both uses. A retail bar code symbol is required for the retail point-of-sale.



Using retail bar codes on a traded unit

If a retail bar code is printed on the packaging of a traded unit:

- The bar code must have a magnification of at least 150%, so that the width of the narrowest bars is at least 0.495 mm, up to a maximum of 200%, when the narrowest bars would be 0.660mm
- GS1 specifications recommend four bar codes but will accept a lesser number down to one. The Australasian grocery trade minimum is two and Woolworths Australia require six, one on each surface of the unit
- Where only two bar codes are applied one should be on a short end and the second on the adjacent long right hand side
- It must not be possible to scan any of the bar codes on any of the items inside through or around the outer packaging

For further information see Appendix 4

- The bar code must have light margins to the left and right, and the use of light margin indicators is strongly recommended as a means of safeguarding these
- The bar code must be horizontal, in picket fence orientation, so that the bars are vertical
- Where possible centre the bar code on the panel but if this is not possible, the bar code including its light margins must be no closer than 19 mm to a vertical edge
- Position the bar code so that the vertical bars fill a horizontal band 32mm to 64mm above the base of the
 unit. If your printer has trouble positioning the bar code precisely one option is to print it with vertical bars
 taller than the specified minimum height so that the required band is filled and some extra bar height
 protrudes above and/or below it
- If you are printing retail bar codes straight on to corrugated board bearer bars around the bar code are not required but they are helpful in improving print quality and their use is recommended

Examples of EAN-13 and UPC-A bar codes printed at a magnification of 150% are shown below:





Using ITF-14 bar codes

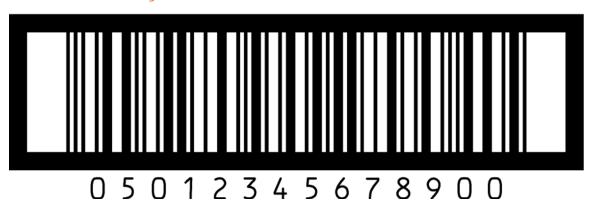
Users will generally use ITF-14 symbols when bar codes are being printed directly on to corrugated outer cases. If you have to provide extra variable information such as expiry dates or batch numbers, you will have to use GS1-128 bar codes that are explained later.

Examples of an ITF-14 symbol printed at its nominal size (a magnification of 100% which is equivalent to an X-dimension of 1.016 mm) and at a reduced size of 62.5% are shown at right. As with the EAN/UPC symbols the aim is to print a scannable bar code within the specified size range. For ITF-14 symbols the range of sizes is from 50% to 100%, which is equivalent to an X-dimension range of 0.495 mm to 1.016 mm.



In the following illustrations the 100% and 62.5% bar codes illustrate the format required when printing plates are used. The 50% bar code illustrates the format that may be used with other print methods and includes optional light margin indicators.

ITF-14 bar code at 100% magnification



ITF-14 bar code at 62.5% magnification



ITF-14 bar code at 50% magnification





Main requirements

- When printing directly on to corrugated cases use 100% sized symbols which have an X-dimension of 1.016 mm. Using this size addresses the size requirements of all supply chain participants
- GS1 specifications recommend four bar codes, one on each side, but will accept a lesser number down to
 one. The Australasian grocery trade minimum is two and Woolworths Australia require six, one on each
 surface of the unit
- Where only two bar codes are applied one should be on a short end and the second on the adjacent long right hand side
- The bars should be at least 32 mm tall regardless of magnification, measured from top to bottom of vertical bars and not including the thickness of the bearer bars
- Position the bar code so that the vertical bars (not including the bearer bars) fill a horizontal band 32mm to 64mm above the base of the unit. If your printer has trouble positioning the bar code precisely one option is to print it with vertical bars taller than 32mm so that the required band is filled and some extra bar height protrudes above and/or below it
- Clear light margins should be provided on both sides of the symbol
- The bar codes on the consumer units inside the outer case should not be scannable through the outer packaging
- · The bar codes must be horizontal, in picket fence orientation, so that the bars are vertical
- The bar codes including their light margins must be no closer than 19 mm to a vertical edge
- The minimum acceptable size for an ITF-14 bar code printed directly on to paper is 50% but when printing directly on to Kraft paper or corrugated board GS1 specifies a minimum of 62.5%, the Australasian grocery trade requires 90% and Woolworths Australia requires 100%
- The recommended bar width ratio for wide to narrow bars is 2.5 to 1. The allowable range is 2.2 3.0 to 1
- Only use ITF-14 symbols smaller than 62.5% when printing on to labels, or directly on to high quality substrates; samples will need to be checked to ensure that these symbols are scannable

Bearer bars

The heavy box around the ITF-14 symbol is called the bearer bar and it must always be included when printing by any method that involves using plates. Bearer bars maximise the area of the plate and improve the registration of the print.

If the ITF-14 symbol is printed using on-demand label printing equipment it is only necessary to print the top and bottom bearer bars and these should be at least twice as wide as the narrow bars in the bar code.

Bearer bars also prevent any mis-scanning of the ITF-14 symbols, and help prevent the top and bottom of the bars from splaying apart when using flexographic printing plates. When these bar codes are printed on demand the bearer bar still prevents mis-scans and may also provide a visible check that all the print head elements are working.

The bars should be at least 32mm tall excluding the thickness of the bearer bars.



Light margin indicators

Light margin indicators are not required by the GS1 specifications, but they can be used to indicate the presence of the light margins or quiet zones. Users of ITF-14 symbols must be confident that adequate light margins are being provided.

It is also important to check that any final wrapping or treatment of the item does not obscure the bar codes.

H Gauges

These are used by the printer to aid visual inspection of the plate pressure during printing. They are recommended for plate printing of ITF bar codes. They need not be used for thermal printing of ITF bar codes or where the printer has other means of monitoring the printing quality. When used the H gauge should be placed outside the light margins specified, but inside the vertical lines of the box surrounding the ITF code.

Software

Some software packages for bar code production have not been updated to reflect the changes to GS1 specifications that were introduced in January 2000. These altered the allowable sizes of both ITF-14 and GS1-128 bar codes. These software packages may still allow for the printing of these bar codes at sizes that are now outside the specifications.

Please consult software suppliers for possible updates.







Using GS1-128 bar codes

These bar codes are now referred to as GS1-128 but will be specified as EAN-128, UCC/EAN-128 or UCC-128 in most literature and software as the current name has only recently been introduced. Note that GS1-128 is not the same as Code 128. GS1-128 enables companies to provide additional information about a product alongside the GTIN for the product itself. These bar codes cannot be scanned at the retail point-of-sale so they are restricted to use on traded units or pallets.

GS1-128 is recommended when it is necessary to scan:

- Use-by and best-before dates
- Measurements for variable measure products
- · Batch and serial numbers
- Any other variable information about a product

Application identifiers are significant sets of digits that are used in GS1-128 number formats and bar codes to signal to the processing software what the associated data represents. For example (17) 071103 means a use-by date of 3 November 2007. In this case (17) is the application identifier. Dates are expressed in the format YYMMDD.

See Appendix 4 for information about GS1-128 bar codes and application identifiers. The different types of data must be specified by the application identifiers that appear in brackets before each data field. Remember that the brackets are not data and should not be encoded in the symbol. The brackets are only shown around the human readable numbers below each bar code.

The size of the GS1-128 bar code will depend on the amount of information it includes so:

- Make sure no bar code, including its light margins, is longer than 165 mm
- If the bar code would be too long, use two or more bar codes each above the other to show the information

Main requirements

- GS1 specifications require at least one GS1-128 bar coded label on a unit but the Australasian grocery trade including Woolworths Australia require two
- When printing on labels, the minimum allowable size is 50%, which means the narrowest bars and spaces have a width of 0.5mm
- Printing GS1-128 on corrugated board is very difficult and best avoided but if it must be done print only at 100% with an X-dimension of 1.016mm. The minimum bar height is 32 mm
- The bar codes must be horizontal, in picket fence orientation, so that the bars are vertical
- The bar codes including their light margins must be no closer than 19 mm to a vertical edge
- If you are printing GS1-128 straight on to corrugated board bearer bars are not required but they would be helpful in improving print quality and their use is recommended in this situation. Note: GS1 does not recommend printing GS1-128 straight on to corrugated board
- Position the bar code so that the vertical bars fill a horizontal band 32mm to 64mm above the base of the unit. If your printer has trouble positioning the bar code precisely one option is to print it with vertical bars taller than 32mm so that the required band is filled and some extra bar height protrudes above and/or below it

The bar codes on the consumer units inside the outer case must not be scannable through the outer packaging. For further information see Appendices 4 and 5.



Bearer bars

These are not required by the GS1 specifications but users will find them a helpful addition. When GS1-128 bar codes are printed on demand in picket fence orientation any missing print head elements will show up as white gaps in the bearer bars at the top and bottom of the symbol. The use of bearer bars will help ensure accurate production of these symbols.

Light margin indicators

These are not required by the GS1 specifications but they can be used to ensure that adequate light margins or quiet zones are being provided. Users must be confident that sufficient light margins are provided at each side of the symbol. The diagram below provides an example of a suitable format for these.

Products with a shelf life of up to 90 days

In Australia and New Zealand most retailers expect use-by or best-before dates to be bar coded on products whose shelf life is less than 90 days.

An example of a bar code for a short life product is shown below.

The AI (01) defines the GTIN for the product while the AI (15) defines the best-before date in the format YYMMDD. If a use-by date is required, the AI (17) is used.

The data in this bar code provides the information that the GTIN is 25012345678904 and that the product's bestbefore date is 5 November 2004.

The GS1-128 bar code shown has light margins to the left and right that are also indicated by the optional light margin indicators. Light margins are 10 times the X-dimension of the bar code.







For further information about on-demand printing, see Appendix 3.

Variable measure products

When traded units contain variable weight items (items that do not have a predefined weight), then the net weight of the contents must be shown in a GS1-128 bar code. Other variable measures such as length, area and volume can be shown using different application identifiers, but weight is the most common variable measure. For further details about the other application identifiers, see choosing the correct application identifiers, page 54, or GS1 specifications available through GS1 New Zealand's web site.

- Use the AI (3102) to give the net weight in kilograms to two decimal places. Other AI's can be used to give weight to a different number of decimal places
- The GTIN must be a 14-digit number beginning with 9

The data in this bar code provides the information that the GTIN is 95012345678903, that the product's net weight is 12.75 kilograms, and that the expiry date is 3 November 2004.



Software

Some software packages for bar code production have not been updated to reflect the changes to GS1 specifications that were introduced in January 2000. These altered the allowable sizes of both ITF-14 and GS1-128 bar codes. These software packages may still allow for the printing of these bar codes at sizes that are now outside the specifications.

Please consult software suppliers for possible updates.

11. Pallet labels



Pallets and other transport units must be labelled with a GS1 logistics label and an example of one is given overleaf.

The X-dimension for the GS1-128 bar codes shown here is 0.495 mm, and this is the minimum that should be used. This is equal to a magnification of 50%.

The GS1 specifications stipulate that GS1-128 bar codes must be used on these labels to represent the GS1 data.

The most common size is close to A5 which is 148 mm wide and 210 mm tall. If less information is required, some companies will choose to use a label size closer to A6 which is 105 mm wide and 148 mm tall. The actual size of the label will depend on the requirements of the trading partners, and any size of label may be used.

The label uses GS1-128 bar codes and provides information that will be useful to all the participants in the transport and distribution chain.

- Each shipping container, transport unit or pallet is identified with a unique tracking number called a Serial Shipping Container Code (SSCC)
- The SSCC is an 18-digit number that is unique for each unit
- The SSCC is specified by the AI (00)
- The bar code containing the SSCC should always be the lowest bar code on the label and must always be printed at 50% magnification or more

In addition to the SSCC most users will provide details of the contents of the pallet.

- Use AI (01) to give the GTIN of the cases on the pallet if the pallet has a pre-defined quantity of cases, and it is a traded unit. AI (01) must not be used together with AI's (02) and (37)
- If the number of cases on the pallet is not pre-defined use AI (02) to give the GTIN and then AI (37) to provide the quantity. AI (37) must always be used when AI (02) is used
- Use whichever extra Al's you need to provide extra information for yourself or your trading partners, for example Al (400) for purchase order number
- The height of the bars of all the bar codes should be a minimum of 32 mm







A5 sized label

THE COMPANY LIMITED ANY ADDITIONAL INFORMATION

SSCC

050123450001234563

Content

15012345678907

Use by

25.12.04 05

Count

110

Variant Batch No.

1234AB



(02)15012345678907(17)041225(37)0110



(00)050123450001234563(20)05(10)1234AB



Position of label on pallet

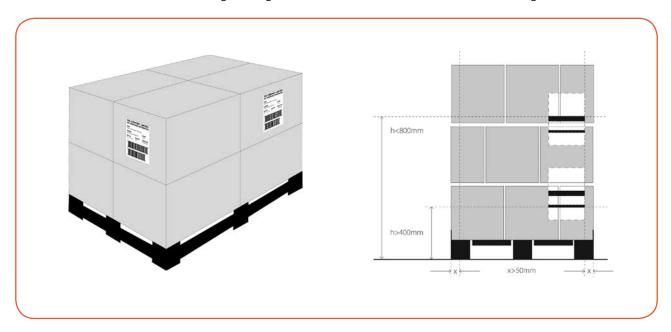
Many pallets will be handled on automatic systems so it is important that scanners can find the bar codes easily.

For pallets taller than 1 metre:

- Place the label so that the bar codes are no higher than 800 mm and no lower than 400 mm, measuring from the floor on which the pallet stands, not the floor of the pallet
- Use two identical labels for each pallet, one on a short side and one on the long right hand side as shown below
- Ensure that the bar codes including their light margins on the label are no closer than 50 mm to a vertical edge

For pallets less than 1 metre in height:

- Place the label as high as possible but make sure that the bar codes are no higher than 800mm and no lower than 32mm from the base of the unit
- Ensure the bar codes with their light margins are no closer than 50 mm to a vertical edge



12. The verification process



The accurate printing of bar codes is fundamental for effective value chain management as the rapid and accurate scanning of GS1 data provides the basis for all inventory or freight control, and any electronic business transactions that follow.

Bar code scanning provides no indication of bar code quality, as it gives no information about the symbol other than whether it can be scanned or not by that particular scanner. Because scanners perform differently it is possible for one scanner to scan a bar code that another cannot scan. Only a verifier will reliably test bar code quality.

The GS1 specifications provide a process for the production of bar codes that should result in scannable symbols, but a verification procedure needs to be followed to provide more information about symbol quality.

Staff need to be trained in the use of verification equipment, and must always check symbols visually before using a verifier that meets the requirements of ISO/IEC 15426-1 to provide detailed information. Each symbol should be checked to see that the bars are the correct size and height, and that no horizontal lines or spaces cut through the symbol. Any marks crossing the bars and spaces of a symbol will reduce its effective height and make it very difficult to scan.

The position of the bar code on the packaging will need to be checked to see that it meets the GS1 specifications. Any final labelling or wrapping should also be examined to ensure that the bar codes remain visible and scannable.

When checking symbol quality, you should attempt to simulate the final, filled product or package. If for example a white background is printed on to a clear substrate, check the colour of the contents of the item. If it is not possible to simulate the contents, verify the bar code twice, once over a black background and next over a white background. The poorer of the two grades will provide information about the worst possible outcome.

Having checked that the bar codes are in the correct position and are not shortened in height (truncated), you can use verification equipment to obtain an overall grade for each symbol. A very important final check is to read the number the verifier has decoded from the bar code to ensure that it is the number that should be encoded, that it matches the human-readable number underneath the bar code and it is the number that was assigned to the product.



It is possible for one scanner to scan a bar code that another cannot scan. Only a verifier will reliably test bar code quality.



Verifiers that meet the international requirements will make measurements of and grade the following seven parameters of the code:

- The symbol contrast (a measure of the contrast difference between the dark bars and the paler background)
- The minimum reflectance (a check that the bars appear dark enough in relation to the spaces)
- The minimum edge contrast (a measure of the least difference in contrast between an adjacent bar and space). If this is a low grade the bar code may scan poorly or not at all
- Modulation (a ratio of the minimum edge contrast to the symbol contrast). This grade will be low if reflectances vary considerably within the code or if the size of either bars or spaces become much smaller than ideal
- Defects (which may be light voids within dark bars or dark spots in the spaces between the bars). A defect may artificially create an extra bar or space that will prevent a scan
- Decode (an indication that the symbol will decode successfully if it conforms to the specifications, notably in respect of character encoding, check digits and light margins)
- Decodability (an indication of the accuracy of widths and positions of the bars and spaces)

All of these criteria are measured separately and the grade given to the bar code is the lowest score for any one of these measurements.

All of these characteristics can be measured by verification equipment that meets the requirements of ISO/IEC 15426-1, which incorporates the CEN (Comité Européen de Normalisation, the European Standards Committee) standards. These standards are compatible with those from ANSI (the American National Standards Institute) and the table below shows how they compare. Verification to the ISO Standard, sometimes referred to as the ANSI Standard, is the only correct test of quality for GS1 bar codes.

ISO/ANSI grade comparison

Numeric range (ISO/CEN)	Alphabetic grade (ANSI)
3.5 to 4.0	A
2.5 to 3.4	В
1.5 to 2.4	С
0.5 to 1.4	D
below 0.5	F



Axicon Auto ID Ltd



This standard applies to all the bar codes used by the GS1 System, and provides a basis for agreeing the quality of symbols acceptable with trading partners. The grade given by a verifier is only an indication of the quality of a symbol. The verifier should be used to scan each symbol 10 times, using different paths through the symbol each time. Higher grades mean that the bar code in question is closer to the ideal than lower scoring symbols, but there may still be some faults that will prevent it from being decoded successfully by all scanners.

The aim is to produce bar codes with grades 4 or A, although this will be difficult with some printing processes and materials. Note that when a bar code is tested certain scanner aperture sizes must be used depending on the type and sometimes the size of the symbol. Minimum pass grades with the appropriate scanner aperture size and light source wavelength are shown below, expressed according in both the ISO and ANSI terminology.

Pass grade requirements

Bar Code	Min.grade/aperture (mils)/wavelength (nm)	ANSI
EAN-8, EAN-13, UPC-A, UPC-E	1.5/06/670	С
GS1-128	1.5/10/670	С
ITF-14 < 62.5%	1.5/10/670	С
ITF-14 ≥ 62.5% GS1 specifications and Australasian grocery industry	0.5/20/670	D
ITF-14 ≥ 62.5% Woolworths Australia requirements	1.0/20/670	D

As a minimum all bar codes should be grade 1.5 or C or above, except for ITF-14 symbols printed at or above 62.5% magnification, for which grade 0.5 or D is acceptable in terms of GS1 specifications but Woolworths Australia specify 1.0 - which is still within the D range - as the minimum.

In general, higher quality bar codes can be expected to scan more easily and quickly than lower quality bar codes of the same size.

Note that there are several methods of verifying bar codes. GS1 recognises only the method defined in ISO/IEC 15416.



Hand Held Products Inc

13. GS1 verification reports





A GS1 Verification Report reports the correctness of a bar code in terms of all the attributes listed in this booklet. These reports are not the same as the reports printed off by proprietary verification equipment. GS1 verification reports can be produced by GS1 accredited manufacturers reporting on their own products only, or by GS1 in New Zealand or Australia on behalf of any user of the GS1 System.

GS1 accredited label and packaging suppliers are authorised to issue de facto GS1 verification reports that are not printed on GS1 stationery but which imply full GS1 compliance. Because bar codes can be changed by manufacturers after printing, for example by filling a packet with a product whose colour shows through and changes the bar code colours, you the supplier remain responsible for obtaining the final GS1 verification report. An accredited packaging supplier or printer's verification report is not an acceptable substitute for a GS1 verification report because of the possibility that the bar code has been changed since printing.

When sending bar code samples for testing enclose a completed Bar Code Verification Report Application Form obtainable from the GS1 New Zealand website www.gs1nz.org or on request from GS1 on freephone 0800 10 23 56.

Retail items should preferably be complete, made up and filled as for sale. Inners and shippers may be folded and empty but should be entire so that testing staff can reassemble them to assess the correctness of the bar code location. Be careful not to fold across bar codes as creases can affect test results.

Products sent for testing are donated to charity unless their return is requested. Items will be returned at the member's expense if requested.

Where a report is required before final bar codes are printed GS1 will report on artwork of proposed labels or packaging. Proofs should be sent by post or courier, as for finished bar codes. Artwork may be sent electronically, preferably in PDF format to: verify@gs1nz.org

Reports on artwork will be endorsed with the word 'interim' and must be followed by a report on a finished bar code no more than six weeks after the initial test.

Note: Verification of artwork is a useful check for correctness during the pre-press stage of artwork production and is recommended for that purpose. It is not complete assurance that the final product will pass verification however. Verification tests, among other things, the reflective properties of a bar code and these will change with different printing materials and methods. A proof may have reflective properties different to those of the final label or package.

GS1 New Zealand members receive some free tests each year and a small charge per test applies thereafter. Non-members are charged for all tests. Details of current charges are available from GS1 New Zealand.

Obtaining a GS1 verification report

To obtain a GS1 verification report send a sample of the bar code to: Verification Service GS1 New Zealand Level 2

Woolstore Design Centre L2, 262 Thorndon Quay (or P O Box 11 110)

WELLINGTON

You the supplier remain responsible for obtaining the final GS1 verification report

14. Common problems to avoid



These are many of the most common problems, and some that will be discovered with the correct use of verification equipment.

Problems





The light margins required for each bar code symbol are not large enough.





The bar codes are shortened below their specified height (truncation).





Missing bars or horizontal white lines crossing the bar code because of faulty print heads used for on-demand printing.





Choosing incorrect colour combinations, often orange or red bars on a pale background, which will not scan. Negative images, where the bars are white against a darker background, are also not scannable.





Using transparent or semi-transparent substrates, such as glass or plastic, and hoping that the contents will provide a suitable background colour either for the bars or the spaces.







Problems

Printing bar codes that are either too large or too small.





Incorrect adjustment for ink spread (the bar width reduction). The printed bars are out of specification, either being too narrow or too wide.

Printing ITF-14 bar code (right) without bearer bars.





Placing labels too close to vertical corners or wrapping them around corners so that the bar codes are too close to the edge.





Peeling or creased labels.





Show through of the bar codes on consumer units through the outer packaging.

Problems



Printing bar codes onto film which is distorted as it is used as shrink wrap.







Obscuring the bar codes.



Bar code printed vertically-Generally a fault but exceptions may be permitted (Consult GS1)



Bar code printed too close to edge of label

Problems









Printing bar code on to packaging or a label which is then distorted.



Poor quality substrates are substituted for the original specification, resulting in loss of quality, often greater ink spread, or the appearance of voids in the bars. A darker coloured substrate, for example, could result in insufficient contrast.



Using uncovered metal surfaces as a background or for the bars of a symbol. The specular reflectance of the substrate can cause problems, and a solid background should be printed to provide good contrast and eliminate this problem.

Problems with GS1-128 bar codes

Printing Code 128 symbols instead of GS1-128 symbols because the mandatory Function 1 character is not included.

Encoding the brackets around the application identifiers as data within a GS1-128 bar code symbol. These brackets are only used around the application identifiers in the human readable characters printed below the bar code.

Printing a GS1-128 symbol wider than 165 mm. This dimension includes the light margins which are not explicitly indicated, so special attention must be given.

Not showing the application identifiers in brackets below a GS1-128 bar code.

Not including the application identifiers required to define the data in a GS1-128 bar code.

Not listing application identifiers in the recommended sequence. Fixed-length identifiers should go before variable-length, for faster decoding and to conserve label space.

15. Checklist



All of the following must be checked. Read in conjunction with the summary at right.

- ✓ Check that the GTIN is the one assigned to the product.
- Ensure that the check digit is correct.
- Check that the symbology you have selected is appropriate for the intended use retail, distribution, retail and distribution, or logistics.
- Check with a verifier or an ordinary scanner that the number you intend to use is the one encoded in the symbol.
- Check that the symbol is being produced at a size that is within the specified size range for its type and that the bar height and light margins are correct.
- Ensure that you have complied with any industry or trading partner requirements as to the size or number of bar codes on your products.
- ✓ Throughout the printing process use a verifier not a scanner to verify symbol quality to at least the ISO standard grades specified on page 36. Ensure that the contents of the packaging will not affect the contrast between the bars and spaces.
- ✓ Check that the shape of the product inside the package does not distort or otherwise affect the barcode.
- Ensure that no shrink-wrap, tape or other printing will obscure the bar code symbol on the finished product.
- ✓ Ensure that no other bar code symbols will show through from the inside of the pack.
- Carry out routine verification at all levels of packaging to ensure that the bar code complies with the required quality standard, and to identify any potential problems.
- Keep records of verification for the shelf life of the product.
- ✓ Notify trading partners of the GTIN's and the products they identify in good time.
- ✓ Keep a record of the GTIN and the product to which it is assigned.

Verification to the ISO Standard, sometimes referred to as the ANSI Standard, is the only correct test of quality for GS1 bar codes.

Ordinary scanners do not test bar code quality.

16. Summary of best practice recommendations



	EAN-8, EAN-13, UPC-E or UPC-A	ITF-14 printed on label	ITF-14 printed on board	GS1-128
Consumer unit	✓			
Traded unit	EAN-13 or UPC-A only	V	V	If variable data required
Number of bar codes on consumer unit ¹	1			
Number of bar codes on traded unit (GS1 specifications)	4 (pref) 1 (min)	1	4 (pref) 1 (min)	1 (if on a label)
Number of bar codes on traded unit ² (Aust/NZ grocery industry requirements)	6 (pref) 2 (min)	2	6 (pref) 2 (min)	2
Range of X-dimension sizes	0.264 mm to 0.66 mm ³	0.495 mm to 1.016 mm	0.635 mm to 1.016 mm	0.495 mm to 1.016 mm
Magnification range (GS1 specifications	80% to 200% ⁴	50% to 100%	62.5% to 100%	50% to 100%
Magnification sizes (Aust/NZ grocery industry requirements)	80% to 200% ⁴	50% to 100%	100%	50% to 100%
Bar height for consumer units ⁵	depends on size, see Appendix 1a			
Minimum bar height for traded units ⁵	depends on size, see Appendix 1	32mm	32mm	32mm
Bearer bar ⁶	Optional - recommended	Mandatory top and bottom	Mandatory right round	Optional- recommended
Optional light margin indicators ⁷	YES	YES	YES	YES
Minimum ISO verification grade ⁸	С	С	D ⁹	C

- 1 More than one symbol may be used if necessary but the practice is not encouraged. Where more than one symbol is used symbols should be as close together as possible and in no case further apart than 150mm.
- 2 Woolworths Australia require 6 if retail bar codes or ITF-14 printed on board are used.
- $3\,\,$ A minimum X-dimension of 0.25 mm is allowed for on-demand bar code production.
- 4~ A minimum magnification of 75.8% is allowed for on-demand bar code production.
- 5 There is no maximum bar height. Bar codes may be printed as tall as the user wishes. Over-height bar codes may be helpful in overcoming problems of packaging shape or texture by providing a greater area of potentially scannable bar code.
- 6 Bearer bars are mandatory when printing ITF-14. They are useable with all types of bar code when printing with plates and will be helpful in achieving good quality in any case where printing is directly on to corrugated board. GS1recommends using bearer bars whenever bar codes of any type are printed on corrugated board.
- 7 Light margin indicators are not formally required but they may be used to provide a visual indication of the space required to the left and right of each symbol.
- 8 Note that even where grades are the same different scanner apertures must be used on verifiers testing different bar code types. Ensure that the verifier operator is aware of these requirements and uses the correct aperture for each type. See page 29.
- 9 Woolworths Australia require a minimum ISO grade of 1.0/20/670. See page 29.





- 1. Ensure EAN-13, UPC-A, EAN-8 or UPC-E bar codes are used on any product that might be sold at a retail point-of-sale.
- 2. If a traded unit might also be sold at a retail point-of-sale, it must be bar coded with an EAN-13 or UPC-A bar code of at least 150% magnification (equal to an X-dimension of at least 0.495 mm). The actual size chosen will depend on the choice of printing materials being used.
- 3. Traded units can be bar coded with any of these symbols EAN-13, UPC-A, ITF-14 or GS1-128. Short shelf life traded units (those with a shelf life of less than 90 days) will need to be bar coded with an GS1-128 bar code including the best-before or use-by date.
- 4. Inners may be bar coded with any GS1 bar code or with none, as agreed between you and your trading partners. If you do not know your trading partners' preference, assume that bar codes are required.
- 5. Remember that the light margins of any of the bar codes vary in proportion when you increase or decrease their size. Ensure that the light margins you provide at each side of the bar code will be adequate. Allowing extra width over and above the stated minimum is good practice to allow for movement during printing.
- 6. When printing any of the GS1 bar codes on demand, incorporate horizontal check bars that will allow you to see easily whether any print head elements are failing. These may be placed above or below the symbol.
- 7. Make sure it is not possible to scan any of the bar codes on individual items when they are inside any outer packaging.

17. Bar code management



It is good practice to assign the role of bar code quality manager to a specific individual in the organisation. Depending on the type of organisation, retailer or manufacturer, the use of bar codes will differ, which will affect the specific role of the assigned manager. However, their primary objective will be the same, to ensure accurate use of GTIN's and good quality bar codes. Where appropriate this person should also be responsible for issuing GTIN's and maintaining a register of GTIN's created, including the products to which they have been assigned.

For a retailer the manager will ensure that the symbols scan properly at the retail or wholesale checkout and at the distribution centre.

A bar code quality manager for a manufacturer will ensure that good quality bar codes are accurately and consistently applied to products.

Record of GTIN's assigned

Please note that GS1 New Zealand will have no record of GTIN's you assign. You should keep your own records of products to which GTIN's have been assigned.

We suggest the following columns be included in the product file containing GTIN's:

- GTIN
- Product description
- Date of GTIN allocation
- Date of GTIN cancellation
- GTIN allocated by (name of staff member)



18. Glossary of terms

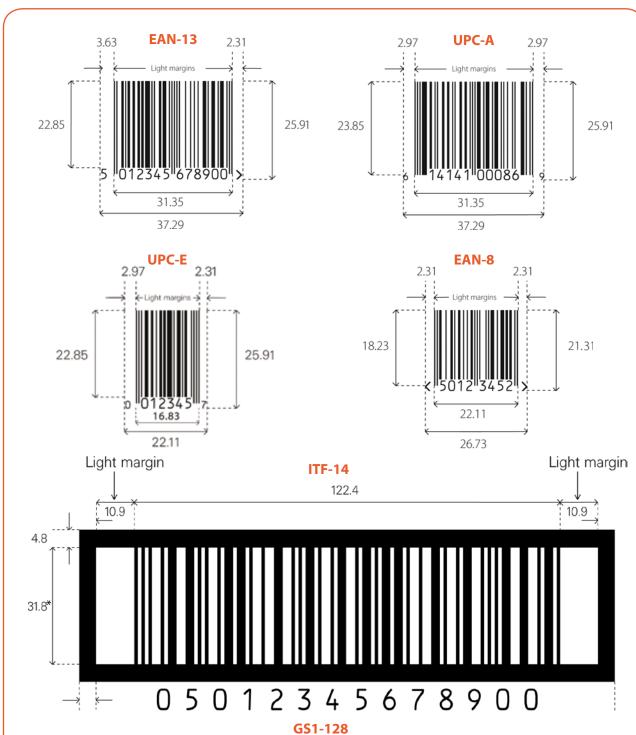


Terms	Meaning
Automatic Data Capture (ADC)	The use of technology such as bar codes or radio frequency identification to automatically read numbers, text or symbols and enter the data in to computer systems.
Application identifier (AI)	The 2, 3 or 4 digit number that specifies the data that immediately follows it in a GS1-128 bar code or number string. For example AI (21) means 'serial number' hence (21) ABC123.
Article number	The former name for the GTIN.
Bearer bars	The bars that surround an ITF-14 symbol to equalise the pressure exerted by the flexographic printing process over the entire surface of the symbol. Bearer bars are mandatory on all four sides of ITF-14 symbols printed with plates and at the top and bottom of ITF-14 symbols printed by other methods. They are optional with other types of bar codes but will always help improve print quality when printing on corrugated board.
Check bars	Horizontal bars printed above any of the bar codes when they are printed on demand that will indicate any missing print head elements.
Company prefix	The combination of country indicator and GS1 membership number that provides the first part of every GTIN created by a user of the GS1 system.
Consumer unit	An item that may be sold at a retail point-of-sale. Also referred to as a retail trade item.
EAN-8	The bar code that represents a GTIN-8
EAN-13	The bar code that represents a GTIN-13.
EAN•UCC	The former name of the international system, prior to the Uniform Code Council/EAN International merger in January 2005. It was generally known as 'The EAN System' outside the US and is now universally known as 'The GS1 System.'
EAN/UPC	The name of the symbology used by EAN-8, EAN-13, UPC-A and UPC-E bar codes. Used to generically refer to the four GS1 bar codes used in retail.
GTIN-8	The name given to the 8-digit GTIN that is shown in an EAN-8 or UPC-E bar code. Formerly known as EAN-8.
GTIN-12	The name given to the 12-digit GTIN formed from a prefix allocated by GS1 US prior to January 2005, and shown in a UPC-A bar code.
GTIN-13	The name given to the 13-digit GTIN that may be shown in EAN-13, ITF-14 or GS1-128 bar codes. Formerly known as EAN-13.
GTIN-14	The name given to the 14-digit GTIN that may be shown in either ITF-14 or GS1-128 bar codes on traded units. Formerly known as EAN-14.
GS1	The governing body of the GS1 System. Originally two bodies, the Uniform Code Council (UCC) of the US and EAN (European Article Number) International jointly administered the System. In January 2005 EAN International and the UCC merged into GS1.
GTIN	Global trade item number. The unique number for a product variant. This number is used in a bar code and electronic data interchange messages.
Item Number	Global Trade Item Number. The new term that has replaced article number.
ITF-14	Interleaved Two of Five 14. The bar code symbol used to show EAN-14 numbers on trade items not crossing a retail point-of-sale. ITF is not exclusively a GS1 bar code and is used in many applications. When used to encode a GTIN-14 it is known as ITF-14 and becomes subject to GS1 specifications.
Kraft	A coarse brown paper used for heavy paper bags and for making corrugated board.
Ladder orientation	Printing the bar code so that the bars are horizontal to the base of the product.

Terms	Meaning
Light margin indicators	< and/or > marks to indicate the light margins required.
Light margins	The clear spaces required to the left and right of any bar code symbol. These differ for each bar code symbol as shown in Appendix 1. As a general rule the width of the light margin will be 10 times the X-dimension or thinnest bar in the bar code.
Mil	One thousandth of an inch. American terminology, used in the ADC industry to express size of light apertures in bar code scanners.
Magnification	The factor used to vary the nominal size of each bar code symbol to ensure it is scannable.
Module	The smallest element (i.e. thinnest bar or space) of a bar code.
nm	Nanometer. A measure of wavelength. Scanners on verifiers testing GS1 bar codes must have a light source of 670 nanometers ± 10 nanometers.
Outer case (Outer)	Alternative term for a traded unit.
Pallet label	The GS1 logistics label that is used to track and identify pallets and any other transport units.
Picket fence orientation	Printing a bar code so that the bars are vertical to the base of the product.
Quiet zones	Another term for light margins.
Shipper	Alternative term for a traded unit.
SCC	(American terminology) Shipping container code. A GTIN and/or bar code used on a traded unit. Depending on context the user may intend to refer to the GTIN or the symbol. Be careful not to confuse with SSCC
SSCC	Serial shipping container code. The unique eighteen-digit identifier for a transport unit.
Specular reflectance	The reflectance of light from highly polished surfaces.
Substrate	The material on to which a bar code is printed.
Symbol	Bar code symbol.
Symbology	The type of bar code symbol.
Trade item	Any item that is identified for pricing, ordering and invoicing purposes. This includes both consumer units and traded units.
Traded unit	An item that is priced, ordered and invoiced between manufacturers, retailers and wholesalers. Also known as an outer case or a trade item not crossing a retail point-of-sale, shipper or carton.
Truncation	The reduction in height of a bar code symbol when its width is unaltered.
UCC	Uniform Code Council (now GS1 US). The American organisation that formerly coordinated the GS1 System in the USA. In January 2005 EAN International and the UCC merged into GS1.
UPC-A	The name given to the bar code used to encode a GTIN-12.
UPC	Universal Product Code. Used to describe the UPC-A bar code that represents a GTIN-12. Note: American users may use the term 'UPC' incorrectly to refer to any retail bar code so it is important to clarify their intentions when the term is encountered.
UPC-E	The name given to the bar code used to encode a shortened GTIN-12 with 8 digits. Equivalent of EAN-8.
X-dimension	The nominal dimension of the narrowest bars or spaces in a printed bar code.
YYMMDD	Year-year, month-month, day-day, the format in which dates are expressed in GS1-128 number format. All six digits must be used with zeroes filling any spaces that may not be required.
Zero suppression	A process that enables some specially formulated GTIN-12's to be reduced to an 8-digit form for use in UPC-E bar codes.

Appendix 1 Bar code dimensions

Nominal dimensions of EAN/UPC and ITF-14 bar codes. These illustrations are not to scale. All measurements are in millimetres.



The overall dimensions of a GS1-128 bar code will vary according to how much data is encoded, but when printed at 100% the module width of a GS1-128 symbol is 1mm and bar height is 32mm*. See method of calculating length on p53.

The light margin dimensions shown above are the minimum for nominal sized symbols and in practice extra space must be provided to allow for printing variations. These light margins also change in proportion with any increase or decrease in the magnification of these symbols. Light margins should generally be 10 times the X-dimension but note that EAN-8 and EAN-13 have wider left light margins than right.

* Minimum. Does not vary with size

Appendix 1a

Dimensions of EAN-13 and EAN-8



		Dimensions of symbols (mm)					
Magnification	X-Dimension	EAN-13			EAN-8		
Factor	(mm)	Width (1)	Width (2)	Height (min)	Widths (1)	Width (2)	Height (min)
080	0.264	29.83	25.08	20.73	21.38	17.68	17.05
085	0.281	31.70	26.69	22.02	22.72	18.82	18.11
090	0.297	33.56	28.21	23.32	24.06	19.89	19.18
095	0.313	35.43	29.73	24.61	25.39	20.97	20.24
100	0.330	37.29	31.35	25.91	26.73	22.11	21.31
105	0.346	39.15	32.87	27.21	28.07	23.23	22.38
110	0.363	41.02	34.48	28.50	29.40	24.32	23.44
115	0.379	42.88	36.05	29.80	30.74	25.42	24.51
120	0.396	44.75	37.62	31.09	32.08	26.54	25.57
125	0.412	46.61	39.18	32.39	33.41	27.63	26.64
130	0.429	48.48	40.75	33.68	34.75	28.75	27.70
135	0.445	50.34	42.32	34.98	36.09	29.85	28.77
140	0.462	52.21	43.89	36.27	37.42	30.96	29.83
145	0.478	54.07	45.46	37.57	38.76	32.06	30.90
150	0.495	55.94	47.02	38.87	40.10	33.18	31.97
155	0.511	57.80	48.59	40.16	41.43	34.27	33.03
160	0.528	59.66	50.16	41.46	42.77	35.37	34.10
165	0.544	61.53	51.73	42.75	44.10	36.48	35.16
170	0.561	63.39	53.29	44.05	45.44	37.58	36.23
175	0.577	65.26	54.87	45.34	46.78	38.7	37.29
180	0.594	67.12	56.43	46.64	48.11	39.79	38.36
185	0.610	68.99	58.01	47.93	49.45	40.91	39.42
190	0.627	70.85	59.56	49.23	50.79	42.01	40.49
195	0.643	72.72	61.14	50.52	52.12	43.12	41.55
200	0.660	74.58	62.70	51.82	53.46	44.22	42.62

Notes

- (a) Width (1) includes light margins and represents the total horizontal space the symbol and background should occupy.
- (b) Width (2) excludes light margins and represents the horizontal measurement between the first and last bars.
- (c) See Appendix 1b for light margin widths.
- (d) Height is measured from the top of the bars to the bottom of the human-readable digits in the GTIN
- (e) Where an EAN-13 is printed on a traded unit that is also likely to be retailed the symbol must be printed at 200% unless the size and shape of the unit compel use of a smaller size in which case size may be reduced to 150% but not below.
- (f) Thermal-printed bar codes may in some cases be printed below 80%. Contact GS1 New Zealand or an accredited supplier for details.
- (g) UPC users, see the notes beneath the table of light margin widths on the next page.

Appendix 1b - EAN-13 and EAN-8 Light Margins



Magnification Factor %	EA	N-13	EAN-8	
	Left mm	Right mm	Left mm	Right mm
80	2.90	1.85	1.85	1.85
85	3.08	1.96	1.96	1.96
90	3.27	2.08	2.08	2.08
95	3.45	2.19	2.19	2.19
100	3.63	2.31	2.31	2.31
105	3.81	2.42	2.42	2.42
110	3.99	2.54	2.54	2.54
115	4.17	2.66	2.66	2.66
120	4.36	2.77	2.77	2.77
125	4.54	2.89	2.89	2.89
130	4.72	3.00	3.00	3.00
135	4.90	3.12	3.12	3.12
140	5.08	3.23	3.23	3.23
145	5.26	3.35	3.35	3.35
150	5.44	3.46	3.46	3.46
155	5.63	3.58	3.58	3.58
160	5.81	3.70	3.70	3.70
165	5.99	3.81	3.81	3.81
170	6.17	3.93	3.93	3.93
175	6.35	4.04	4.04	4.04
180	6.53	4.16	4.16	4.16
185	6.71	4.27	4.27	4.27
190	6.90	4.39	4.39	4.39
195	7.08	4.50	4.50	4.50
200	7.26	4.62	4.62	4.62

UPC-A and UPC-E

UPC-A dimensions are the same as those for EAN-13 symbols of the same magnification except that the light margins ar of equal width. The margin widths stated for EAN-13 should be added and halved for use with UPC-A.

UPC-E symbols occupy the same total width as the corresponding EAN-8 bar codes but the light margins are not of equal width and the distance between the first and last printed bars is less. Bar height for UPC-E symbols is that of a UPC-A bar code of the same magnification.

See the American manuals that will have been provided to you if you are a UPC user, or contact GS1 New Zealand.

Appendix 2 Creating GTIN's and SSCC's and calculating check digits



Companies wishing to bar code their products for open trade will need to join GS1 member organisation to be assigned a company prefix number that can be used to create global trade item numbers (GTIN's), serial shipping container codes (SSCC's), or any of the other GS1 identifiers. The New Zealand member organisation is GS1 New Zealand, previously known as EAN New Zealand.

Companies joining GS1 New Zealand will be given a GS1 company prefix number beginning with 94. Other member organisations allocate company prefix numbers beginning with different numbers. For example Australia uses 93 and Great Britain uses 50. (This booklet was first produced by GS1 UK which is why many of the bar code illustrations in it show GTIN's that start with 50).

Companies will be allocated different length company prefix numbers according to their numbering requirements. Company prefix numbers from GS1 New Zealand are 7, 8, 9 or 10 digits in length and they will be used to create 13 or 14 digit GTIN's and SSCC's in the manner described in the following sections of this booklet.

Exporting to the USA and Canada

Companies with established customers in North America may also have a prefix allocated by GS1 US, previously known as the Uniform Code Council. If so, they should continue using that prefix as before to create UPC numbers. Companies establishing new relationships in North America should be able to use their GS1 New Zealand prefix to create GTIN's as for any other market. All North American retailers should have been enabled for EAN-13 and EAN-8 bar codes by January 2005. If any problems arise in this regard contact GS1 New Zealand.

Note that most Americans will continue to use the term 'UPC' to refer to retail bar codes when in fact EAN-13 and EAN-8 will also be acceptable. Clarify American partners' use of the term 'UPC' before assuming that they cannot in fact accept EAN-13 or EAN-8.

Global trade item numbers (GTIN's)

GTIN's are unique numbers that are used to identify every different product line. GS1 company prefix numbers can be used to create 13 and 14 digit GTIN's. Every user should ensure that their database can record 14- digit GTIN's as these numbers may be used on products that are supplied to them, even if a user decides not to create 14 digit numbers. This is especially important as future developments may include a 14-digit option for the retail point-of-sale.

GTIN-14's, to give 14 digit numbers their correct name, are explained in more detail below, and they can only be used to identify traded units (cartons, shippers) at the moment. They will need to be shown in either ITF-14 or GS1-128 bar codes.

GTIN-8's, for very small products are allocated individually by GS1 New Zealand, and members requiring these should contact GS1 New Zealand for further information.

When any GTIN is recorded in a database, it should be treated as a fixed length 14-digit number. This is equivalent to prefixing an GTIN-13 with a zero, a GTIN-12 with two zeros, and a GTIN-8 with six zeros.



Creating retail GTIN's

Your retail items will usually be identified with GTIN-13's encoded in EAN-13 bar codes. The GTIN-13 is made up of your company prefix, some digits that you will vary to identify individual products, and a check digit that is calculated using the other digits that make up the number. Company prefix numbers allocated by GS1 New Zealand will begin with 94, but 94 does not imply anything about the origination of the product being identified. It simply indicates that you are a member of GS1 New Zealand. A GTIN made up using your prefix may be used on your products anywhere in the world.

You need to create a different 13-digit number for each variant of each product that you wish to identify. The prefix will always provide the first 7, 8, 9, or 10 digits – depending on its length – of the 13 digits in each number. You will be able to put whatever you like in the next five, four, three or two spaces to make up a total of 12 different digits for each number you create. The thirteenth digit, represented by the letter C in the table below, is the check digit.

The check digit must be calculated. Its purpose is to signal to the computer that decodes the bar code when the bar code is correctly decoded. The software will repeat the check digit calculation when it has decoded the bar code. If the software's calculation agrees with the answer that is already in the bar code the software knows that the decoding is correct. This turns it off that bar code and starts it looking for another one.

The calculation for the check digit is provided at the end of this Appendix, and a check digit calculator on CD is available on request from GS1 New Zealand or on the GS1 New Zealand web site at www.gs1nz.org

Prefix (allocated by GS1)	Item Reference (allocated by you)	Check Digit (calculated)
94 XXXXX	XXXXX	С
94 XXXXXX	XXXX	С
94 XXXXXXX	XXX	С
94 XXXXXXXX	XX	С

It is your responsibility to allocate item reference numbers and to maintain records of number allocation. Basically every different product line (whether a single item or a box of them) requires a different number. The numbers have no meaning so it will always be simpler and easier to use a row of zeroes for the item reference in the first GTIN you create and then increment it by one for each subsequent number 00...0, 00...1, 00...2 and so on.

There is no purpose to be served by trying to build significance into GTIN's, for example by grouping all products of a particular type into a certain range of numbers. Any unique and correctly structured GTIN will identify a product perfectly, without having to be similar to the GTIN on any similar product. Attempts at creating patterns or other significance in GTIN's will only complicate the management of the numbers and increase the chances of errors.



If EAN-13 is too big

If you think that an EAN-13 bar code will not fit on your product contact GS1 New Zealand to discuss whether you qualify for GTIN-8's that can be encoded in smaller bar codes. Note that GTIN-8's are a limited resource. They will be issued only when absolutely necessary and will not be issued simply to satisfy design preferences.

Special cases

Not all products fit neatly into the general principles of the GS1 System. The following cases require some degree of variation.

- Wine may be identified by variety and vintage or simply by variety as agreed between the winemaker and customer
- Publications may be identified by standard GTIN's or by ISBN or ISSN numbers that have been converted to GTIN's. Sometimes videos, DVD's and CD's may, depending on their contents, be defined as 'publications' eligible for ISBN numbering. Consult the National Library or GS1 for details
- Discount coupons are identified by a GTIN that uses 99 in place of the 94 in the prefix
- Customer cards may be identified in the manner described for 'own label' products below

Creating variable-measure GTIN's

Some products vary constantly so that each one is different from the others. An example is sliced ham from a delicatessen where each customer pays a slightly different price because each purchase weighs a different amount. In this case the retailer will use scales that print bar coded labels and the bar codes will encode GTIN's that include the product identity and price of each package.

Options also exist to enable manufacturers to generate GTIN's that express the identity and price or weight of individual items.

Exporters should note that the methods used to produce these GTIN's might vary between countries. Some parts of the GTIN used in each case must be allocated by GS1 in the country of sale, not origin, of the products, and prices must be expressed in the currency of the country of sale. Where variable measure GTIN's are to be used for export GS1 New Zealand must be consulted to advise on methods to use and to obtain the necessary information from other GS1 organisations.

Users who need to create variable-measure GTIN's will be using label printing equipment that produces different GTIN's for each item labelled. They will have been instructed in the use of the GTIN's by their equipment supplier. GS1 is also able to advise.

Creating own-label GTIN's for restricted distribution items

Where a product is manufactured for retail under a house brand in a situation where it will only ever be sold through one company's outlets and recorded only in that company's databases the usual GTIN creation rules may be waived. GTIN-13's or GTIN-8's may be made up however the user wishes using the digit 2 to begin the number. Note however that the combinations 26 and 29 may not be used in the GTIN-13 format as these combinations occur in other specialist GTIN's that may be encountered in the market place.

Prefix	Item reference	Check Digit
2	XXXXXXXXX	С
2	XXXXXX	С



Creating EAN-14 GTIN's

Any member company can choose to identify their traded units (trade items not crossing a retail point-of-sale) with GTIN's containing 12, 13 or 14 digits. Most companies will use GTIN-14's for these units, and they must be encoded in either ITF-14 or GS1-128 bar codes. ITF-14 is the easier bar code to print and is the option that should be selected unless there is a specific need to use GS1-128.

GTIN-14's are usually created by adding an indicator as a prefix to the GTIN-13 on the product that will go inside the traded item, and then recalculating the check digit as shown (see below). The indicator can take any value from 1 to 8, and simply creates a different item number for a different packaging configuration. (The number 9 is only ever used when identifying outer cases of products of a continuously variable measure, usually weight.) The indicator has no meaning so all the details of the item being identified in this way must be given to your trading partners in the normal way.

For example the GTIN-13 9412345678904 becomes the GTIN-14 19412345678901 by placing 1 in front of it and recalculating the check digit.

Companies still using GTIN-12's numbers can perform the same process by placing 10 in front of the GTIN-12 and recalculating the check digit.

Component parts of GTIN-14's

Organisations using these GTIN-14's can increase their numbering capacity significantly, but please remember that they must only be used on trade items that will not cross a retail point-of-sale.

Indicator	GTIN-13 for single item (check digit deleted)	New check digit
1	941234567890	1
2	941234567890	8
3	941234567890	5
4	941234567890	2
5	941234567890	9
6	941234567890	6
7	941234567890	3
8	941234567890	0

You may use 9 as an indicator only if the product is a variable measure item.





An alternative method of making up GTIN-14's is to make up a new GTIN-13 and place a zero at the front of it as the indicator. If this method is used the GTIN-13 must be a new unique number. Avoid placing a zero at the start of an existing GTIN-13 that is in use on another item because computers do not recognise zeroes at the start of numbers. A GTIN-14 made up in this manner from an existing GTIN-13 will be the same as the original GTIN-13 to a computer decoding a bar code and the system will be unable to distinguish between the traded unit and the consumer item.

Creating SSCC's

The same company prefix number used to create GTIN's is used to create serial shipping container codes (SSCC's) that are unique serial numbers for each logistics unit. Companies that have a 7-digit GS1 company prefix number can create up to 10 billion different SSCC's. Users with longer company prefix numbers have fewer numbers to use, but they will still create unique 18 digit numbers.

The number has four components but it should always be used as one complete number within companies' computer systems. Whenever the SSCC is shown in a GS1-128 bar code the application identifier (00) will precede it.

The application identifier (Al) for SSCC's is always (00), and defines the data structure.

The extension digit can take any value between 0 and 9 and allows users to create more SSCC's. It was previously known as the packaging indicator but is no longer used for that purpose. Any digit may be used to fill the space. By convention most users use 3. Companies still using GTIN-12's should note however that a GS1 US company prefix number will be prefixed with a zero when used to create SSCC's.

The serial number is between 7 to 9 digits in length, depending on the length of the company prefix number, and is allocated by the company making up the unit. The number must not be reused for at least one year or until the unit to which it was assigned has been broken down and no longer exists, whichever is the later.

Application	Extension	Company prefix	Serial	Check
identifier	digit	number	number	digit
00	X	XXXXXXX	XXXXXXXXX	С
00	Х	XXXXXXXX	XXXXXXXX	С
00	Х	XXXXXXXXX	XXXXXXX	С
00	X	XXXXXXXXX	XXXXXX	С

The check digit is calculated in the same way for all GTIN's and SSCC's.



Check digit calculation

The last digit of the GTIN or SSCC is a computer check digit to make sure the bar code is correctly composed. The check digit is calculated by a modulo 10 algorithm from all the other digits in the number through the following steps:

- 1. Starting with the digit on the right of the number, (excluding the check digit) add all the alternate digits, reading right to left.
- 2. Multiply the result of step 1 by 3.
- 3. Add all the remaining digits that were not included in step 1. Do not multiply this answer.
- 4. Add the result of step 2 to the result of step 3.
- 5. The modulo 10 check digit is the smallest number which, when added to the result of step 4, produces a multiple of 10. If the answer is already a multiple of ten the check digit is zero.

For example, to calculate the check digit for the GTIN-13 941234554321C

Step 1	1 + 3 + 5 + 4 + 2 + 4 = 19
Step 2	19 x 3 = 57
Step 3	2 + 4 + 5 + 3 + 1 + 9 = 24
Step 4	57 + 24 = 81
Step 5	81 + C = 90
ANSWER	C = 9

The complete GTIN is 9412345543219 If the answer at step four above had already been a multiple of 10 the check digit would have been zero.

The check digit is calculated in the same way as for all GTIN's and for SSCC's. In the case of GS1-128 number formats, the calculation does not include the application identifier or any of the supplementary data. It applies only to the GTIN or SSCC.

A check digit calculator on CD is available to members on request from GS1 New Zealand.

Check digit calculation via the GS1 NEW ZEALAND website – www.gs1nz.org.

A check digit calculator can be accessed directly from the home page and will produce check digits for all GTIN's regardless of their length, and for SSCC's. To use the calculator simply follow the instructions and key in the digits.

Appendix 3 Printing techniques



General

Virtually all consumer units (products that will be sold at a retail point-of-sale) and very many traded units (the outer cases for the consumer units) will be sold in packaging that is printed with the appropriate bar code. Some items will use bar coded labels and advice about using these is provided in the following section of this Appendix.

The image of the bar code that is included in the artwork will need to be adjusted to take account of the spread of ink. This is necessary because direct contact printed bars in bar codes print wider than the actual width of bars on the printing plate. The difference between the width of the bar as it is printed and the specified width on the printing plate is called print gain.

Each bar on the printing plate needs to be reduced in width by the average print gain and this is known as bar width reduction. All widths of bar have the same bar width reduction so that the spaces on the printing plate will be wider than they will be when they are printed. This adjustment in the width of the bars can be determined by the use of printability gauges. Printers will be able to offer advice about the use of printability gauges and film master suppliers will be able to supply images that have been correctly adjusted for the particular printing process being used.

Different printing techniques have different tolerances for printing accuracy and different print gains so it is important to check what these are before choosing a particular size of bar code symbol. The choice of substrate used in the printing process will also affect the size of the bar code that can be accurately printed.

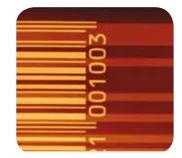
If possible, when using flexographic printing, the bars should run parallel to the press web direction. If the bars are required to be perpendicular to the press direction, try to avoid distorting the symbol for the plate roll circumference. This lack of distortion will alter the overall width of the symbol, but will provide dimensional integrity.

In printing on corrugated board higher print quality will be achieved when the bars of the bar code lie in the same direction as the corrugations. Regardless of bar orientation, bearer bars will always improve the quality of any bar codes printed on corrugated board. Their use is mandatory with ITF-14 but highly recomended in all cases involving corrugated board.

When using either silkscreen or rotogravure printing processes, the symbol should be aligned parallel to the cell structure on the screen or gravure plate cylinder to provide the smoothest bar edge possible.

Remember to test printed bar codes with a verifier that conforms to ISO/IEC 15426-1. Ordinary scanners cannot perform a valid test of bar code quality.

Bearer bars will always improve the quality of bar codes printed on corrugated board.





Printing on demand

Printing bar codes on demand is necessary when including variable information, such as expiry dates, in GS1-128 bar codes for traded units. Some users will want to print bar coded labels on demand for some consumer units, especially when these items are not contained in pre-printed packaging. On-demand printing techniques include direct thermal, thermal transfer, laser, ion deposition, ink jet and mechanical matrix.

Whether printing EAN/UPC, ITF-14 or GS1-128 bar codes the same principles apply.

First of all check that your label design software is compatible with the printer hardware you are planning to use, and that the printer resolution is adequate for the bar codes you are printing. In general this means that your printer must have a resolution of at least 8 dots per mm (approximately 200 dots per inch) as it has to use a whole number of dots to make up the width of the narrowest bars required.

An 8 dpmm printer will be able to print bar codes whose X-dimensions are 0.25 mm, 0.375 mm, and 0.50 mm. A 12 dpmm printer (approximately 300 dots per inch) will be able to print bars with X-dimensions of 0.25 mm, 0.333 mm, 0.416 mm, 0.50 mm and so on.

All on-demand printers, whether they are direct thermal, thermal transfer, dot matrix, ink jet or laser, will print better bar codes with well-defined edges if they are printing the bar codes in picket fence orientation. This means that the bars go in the same direction as the substrate when it passes through the printer.

Printing in picket fence orientation means that the width of the bar code can be no wider than the print head.

If it is necessary to print a bar code that would be wider than the print head, then it must be printed in ladder orientation. This means that any failure in the print head elements will cause white lines to appear across the bar code. These faults in effect reduce the height of the bar code and make it more difficult to scan.

Matching the label stock with the technique being used is also important, as poor quality paper can create dust, which can cause the print heads to burn out sooner than expected. It is also necessary to match thermal transfer ribbons to the equipment being used, and ensure that the correct pressures are being used with the print heads. The incorrect choice of ribbon and paper may be workable, but the machinery is likely to require much higher maintenance costs and require much more frequent servicing.

It is highly recommended to use validated combinations of ribbons and labels, and to use verification equipment to check the print quality.

Regular cleaning and maintenance of your thermal printer is very important for bar code quality. Clean print heads gently with a good quality solvent and avoid scratching them with any hard materials or objects.

Direct thermal printing may be appropriate for some products, and the correct label stock must be sourced. Bear in mind that these labels remain heat sensitive and may be inappropriate if goods are kept in direct sunlight or if the labels are heated in any subsequent process. The whole label may turn black if heated.

Remember to test printed bar codes with a verifier that conforms to ISO/IEC 15426-1. Ordinary scanners cannot perform a valid test of bar code quality.

Appendix 4 Printing GS1-128 bar codes

These bar codes are nearly always printed on demand, so the operator of the equipment needs to be aware of certain requirements.

Function 1

GS1-128 symbols are a subset of Code 128 and they require a special symbol character (a pattern of three bars and three spaces) called Function 1 to be used as part of the start pattern. If the Function 1 character is not correctly included as part of the start pattern the symbol will not meet the requirements of the GS1 System.

Choosing the correct character set

There is a choice of character sets to help users minimise the space taken up by these bar codes. Use character set C to print pairs of digits using one symbol character (a pattern of three bars and three spaces) rather than two symbol characters whenever possible. If single letters or numbers are required use character sets B or A.

Fixed length and variable length fields

GS1-128 bar codes can represent several different element strings in one bar code symbol. This joining together of information in one bar code symbol is called concatenation. When these element strings are fixed in length, such as those for the GTIN and expiry dates, they simply follow one another.

If a variable length field such as that for batch number is used, it must appear at the end of a bar code. Where multiple variable length fields are required, the data is followed by the Function 1 character, which acts as a punctuation mark in the bar code to separate one variable length element string from the one that follows it.

The principle to observe in concatenating GS1-128 is: GTIN; fixed length fields; variable length fields. This minimises bar code length and makes the processing simpler for the software that decodes the bar code.

Choosing the correct size

GS1-128 bar codes can vary in size according to how much data is in them, and how well they can be printed. The maximum width for the X-dimension (the width of the narrowest bars and spaces) is 1.016 mm and the minimum X-dimension is 0.495 mm when these bar codes are used on trade items not crossing a retail point-of-sale. (This range of X-dimensions is equivalent to a magnification range of 100% to 50%).

Users must choose an X-dimension that can be reproduced by their on-demand printing equipment. The X-dimension must be a multiple of the size of the smallest line that can be printed, and if an 8 dpmm (200 dpi) printer is used, the X-dimensions can be 0.50 mm, 0.625 mm, 0.75 mm, 0.875 mm and 1.00 mm.

If users are using labels, an X-dimension of 0.50 mm may be acceptable, provided the printed bar codes, when verified, meet the minimum grade required.

If users are printing these GS1-128 bar codes directly on to corrugated cartons an X-dimension of 1.00 mm is recommended, but verifiers must still be used to check that the bar codes meet the minimum grade required. Further information about verification is provided in Section 9.



Enlarged section of the first part of a GS1-128 bar code showing the Function 1 character in blue.

Height of bars

Whichever X-dimension is chosen, the height of the bars should be at least 32 mm.

Keeping adequate light margins

The light margins on each side of a symbol which has an X-dimension of 1 mm are 10 mm. The light margin is always equal to 10 times the X-dimension, but when the X-dimension is 0.50 mm it is recommended that the light margins are at least 6.5 mm on each side.

When are brackets required around the application identifiers?

Brackets are used around each application identifier (AI) when the data is printed below the bar code. This makes it easier for people to read the AI and the data it defines. The brackets are not encoded in the GS1-128 bar code itself.

Determining the length of a GS1-128 bar code

GS1-128 bar codes will vary in length according to how much information they contain, so it is important to check that the intended length of the bar code and light margins is no longer than 165mm, the maximum allowable width, including light margins. Each symbol character (except the stop character) has a width of 11 mm if an X-dimension of 1 mm is chosen, and each symbol must include four symbol characters to begin and complete the bar code.

For example, how long will a GS1-128 bar code be that includes a GTIN and an expiry date? The data required in the bar code is all numeric so character set C will be chosen. Character set C is one of three sets of bar code characters available within GS1-128. It contains single bar code characters that represent any 2 digit combination 00-99 so when even numbers of digits are involved it is always used to conserve label space.

The data required in the bar code will be as follows, remembering that the brackets around the human-readable Al's are not included in the bar code:

010501234567890015010211

The bar code begins with Start Symbol C and Function 1 characters and ends with a symbol check character and the stop character. The 24 numbers required in the bar code will be shown by 12 symbol characters in this character set, so the complete symbol will be 16 symbol characters in length once the four characters that begin and end the symbol are included. The light margin will be ten times the X-dimension and will occur twice, once each side of the printed symbol.

The width of the bar code in our example (if an X-dimension of 1 mm (100%) is chosen) will be: $(16 \times 11) \text{ mm} + 20 \text{ mm}$ for the two light margins 176 + 20 = 196 mm.

In this example the final measurement exceeds the maximum allowed length so the X-dimension must be reduced. If an X-dimension of 0.50 mm is chosen the bar code will be half this length, 98 mm. If an X-dimension of 0.625 mm is chosen the length will be 122.5 mm, and with an X-dimension of 0.75 mm, the length will be 147.0 mm.

To calculate the width of an GS1-128 bar code use this method to calculate the width required at 100% magnification and then divide your answer to find the X-dimension usable within the available space. Remember that the minimum allowable magnification is 50%, representing an X-dimension of 0.5mm.

Choosing the correct application identifiers

When providing extra information on a traded unit, most users will encode the GTIN for the product with information such as an expiry date. The GTIN on the item must be specified by the AI (01), and the extra information defined using the AI's as explained in the GS1 specifications. The most commonly used AI's and their field lengths are given below.

When labelling pallets, the GS1 logistics label must be used. All the data shown on the label must refer to the contents of the whole pallet. The SSCC is the only compulsory element, and will be the only reference used on pallets of mixed product.

For pallets with uniform contents, any of the Al's listed below can be used. Please remember that you cannot use Al's (01) and (02) together. Al (01) should only be used when the pallet is also a traded unit, i.e. it is ordered and invoiced as a single item. In all other cases, use the Al's (02) and (37) to describe the contents of the pallet.

Al	Description	Al	Data
00	Serial Shipping Container Code – SSCC	n2	n18
01	Identification number (GTIN) of a traded item	n2	n14
02	Identification of traded items contained in a logistic unit	n2	n14
10	Batch or Lot number	n2	an20
11	Production date (YYMMDD)	n2	n6
12	Due date (YYMMDD)	n2	n6
13	Packaging date (YYMMDD)	n2	n6
15	Best-before date (YYMMDD)	n2	n6
17	Use-by date (Safety) (YYMMDD)	n2	n6
21	Serial number	n2	an20
310n	Net weight, kilograms	n4	n6
320n	Net weight, pounds	n4	n6
37	Count of items contained in a logistics unit	n2	n8
400	Customer's purchase order number	n3	an30
401	Consignment number	n3	an30
402	Shipment identification number	n3	n17
410	Ship to (deliver to) GS1 Global Location Number	n3	n13
411	Bill to (invoice to) GS1 Global Location Number	n3	n13
412	Purchase from GS1 Global Location Number	n3	n13
414	Identification of a Physical Location, GS1 Global Location Number	n3	n13
422	Country of origin of a trade item	n3	n3
8003	Global returnable asset identification (GRAI)	n4	n14+an16
8007	International Bank Account Number	n4	an30
8008	Date & time of production	n4	n8+n.4
90	Information mutually agreed between trading partners	n2	an30

n: numeric characters (number)

an: alphabetical or numeric characters (number)

Dotted line preceding number indicates variable length up to maximum shown

This is not an exhaustive list of all the application identifiers that can be used on traded units or on pallets. Please consult the GS1 specifications to find a complete listing of all the Al's.

Appendix 5 Symbol show through

When a traded unit is bar coded it should not be possible to read any of the bar codes on the consumer units inside the packaging. If the bar codes can be read, there is a good chance that one of these will be read instead of the correct one on the outside of the case. This problem is called symbol show through.

When show through takes place it makes it very difficult to use an automatic scanning system reliably as the wrong item may be scanned. This means incorrect data capture and the wrong price being charged at the point-of-sale.

This is a particular problem for wholesalers who sell both traded and consumer units to their customers. They need to be able scan all GS1 bar codes at the point-of-sale so are particularly vulnerable to problems when more than one bar code shows on a unit.

This problem also affects grocery and other retailers who sell large multipacks that are also traded units. Care must be taken to avoid packaging consumer units so that their bar codes are visible in their entirety. Recent packaging waste initiatives are encouraging many manufacturers to make more use of shrink wrapping, and using patterned or obscuring film may be considered as a means of making consumer unit bar codes unreadable.

If the consumer units are rectangular in plan it may be possible to ensure that all the bar codes on the individual items face inside the outer case, but this will not be feasible with round products such as cans and bottles. When consumer units are packed on cardboard trays and then shrink wrapped, show through can be avoided by printed the bar codes in ladder orientation and towards the bottom of each consumer unit. This will mean that the edges of the tray will obscure part of the consumer unit's bar codes and make them unscannable.



Notes

From time to time changes may be made to some of the information contained in this booklet. GS1 will notify these changes by articles and notices in SCAN magazine and on the GS1 New Zealand website.

Use these pages to record details of changes when they are advised.



This booklet only provides a summary of the GS1 specifications for the bar coding of goods that are handled in open trade. If the application of any of this advice is unclear, please contact the staff at GS1 New Zealand for clarification.

The complete GS1 specifications are available free of charge to members via the GS1 New Zealand website at www.gs1nz.org.

Further information and advice are also available from the bar code manager at each relevant retailer and wholesaler, as well as from the staff of GS1 New Zealand. Initial calls to GS1 New Zealand should be made via the membership services helpdesk on 0800 10 23 56 or e-mail info@gs1nz.org.

Disclaimer

The objective of this document is to provide GS1 New Zealand members with user-friendly and simple bar coding best practice guidelines. The document is not exhaustive and does not replace the GS1 Specifications which remain the standard reference document.

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